

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

JONG-002

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/525,629

INTERNATIONAL APPLICATION NO.

PCT/KR2002/001604

INTERNATIONAL FILING DATE

26 August 2002

PRIORITY DATE CLAIMED

26 August 2002

TITLE OF INVENTION

METHOD FOR SYNTHESIZING PEPTIDES

APPLICANT(S) FOR DO/EO/US

**SAMUKOV, VLADIMIR VASILYEVICH; POZDNYAKOV, PAVEL IVANOVICH; KARPYSHEV, NIKOLAI NIKOLAEVICH;
LEBEDEVA, DARIA VLADIMIROVNA; KIM, HACK-JOO**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office(RO/US)
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: **Request for Refund; Copy of Deposit Account Statement; postcard**

FEE VALUE ACCOUNTABILITY	
DEPOSIT ACCOUNT NO.	
50	0815
FEE CODE	VALUE AMOUNT
1032	500
1643	400

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. APPLICATION NO. (If known, see 1.5) 10/525,629		INTERNATIONAL APPLICATION NO. PCT/KR2002/001604		ATTORNEY'S DOCKET NUMBER JONG-002	
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21. The following fees are submitted: <input type="checkbox"/> a) Basic national fee \$300.00 <input type="checkbox"/> b) Examination fee \$200.00 <input type="checkbox"/> c) Search fee \$500.00 TOTAL OF ABOVE CALCULATIONS = \$1000.00				Applicant use	Office use only
				\$ 0.00	
				\$ 0.00	
				\$ 0.00	
				\$ 0.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets or paper or fraction thereof.					
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	/50 =		X \$250.00	\$ 0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total Claims	9 - 20 =	0	x \$50.00	\$ 0.00	
Independent Claims	1 - 3 =	0	x \$200.00	\$ 0.00	
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)			+ \$360.00	\$ 0.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 0.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ 0	
SUBTOTAL =				\$ 0.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$ 0.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
TOTAL FEES ENCLOSED =				\$ 0.00	
Amount to be refunded:				\$680.00	
Amount to be charged:				\$	

a. ☐ A check in the amount of \$_____ to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. 50-0815 in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0815. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.437(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Bozicevic, Field & Francis, LLP
1900 University Avenue, Suite 200
East Palo Alto, California
94303

SIGNATURE

Karl Bozicevic
NAME

28,807
REGISTRATION NUMBER

REQUEST FOR REFUND UNDER 37 CFR § 1.28(a) Address to: BOX PCT Assistant Commissioner for Patents Washington, D.C. 20231	Attorney Docket	Jong-002
	First Named Inventor	SAMUKOV, V.
	Application Number	10/525,629
	Intl. Filing Date	August 26, 2002
	Group Art Unit	To Be Assigned
	Confirmation No.	To Be Assigned
	Examiner Name	To Be Assigned
	Title:	"METHOD FOR SYNTHESIZING PEPTIDES"

Sir:

This is a request for a refund under 37 CFR § 1.28(a) for one half of the large entity filing fee of \$1360.00 which was charged to our Deposit Account No. 50-0815, as shown on our statement dated March 2005 for the above-identified application. A copy of the monthly statement in which the charge appears is included with this request.

On February 23, 2005, we submitted a 371 National Phase Patent Application Transmittal erroneously indicating the above referenced patent application as a Large Entity. **The undersigned hereby asserts that Applicants are entitled to Small Entity Status as defined under 37 CFR 1.27.** Incompliance with 37 CFR § 1.27(c) this request for refund is being submitted within three months of the date the charge was made.

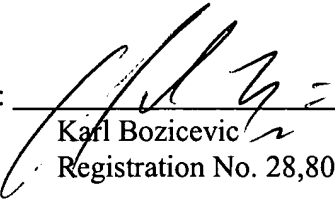
Please deposit the refund in the amount of \$680.00 into Deposit Account No. 50-0815.

Respectfully submitted,
BOZICEVIC, FIELD & FRANCIS LLP

Date:

22 / MARCH / 05

By:


Karl Bozicevic
Registration No. 28,807

BOZICEVIC, FIELD & FRANCIS LLP
200 Middlefield Road, Suite 200
Menlo Park, CA 94025
Telephone: (650) 327-3400
Facsimile: (650) 327-3231
F:\DOCUMENT\JONG\002\Refund Request - Deposit Account.dot



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Patent and
Trademark Office**

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Deposit Account Statement

Requested Statement Month: March 2005
Deposit Account Number: 500815
Name: BOZICEVIC, FIELD & FRANCIS, LLP
Attention: ACCOUNTING
Address: 200 MIDDLEFIELD ROAD
City: MERIO PARK
State: CA
Zip: 94025

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
03/01	18	09782757	6510-096CIP3	2401	\$250.00	\$52,722.00
03/01	19	09782757	6510-096CIP3	2253	\$510.00	\$52,212.00
03/01	20	10330456	LIFE-072CON5	1401	\$500.00	\$51,712.00
03/01	21	10330456	LIFE-072CON5	1251	\$120.00	\$51,592.00
03/01	24	10982145	UCLA-001CIP2	2201	\$176.00	\$51,416.00
03/01	25	10982145	UCLA-001CIP2	2201	-\$220.00	\$51,636.00
03/01	50	10057846	SMAR-020	1252	\$290.00	\$51,346.00
03/01	52	10496331	ARSI-002	8021	\$40.00	\$51,306.00
03/01	176	10494998	WATA-002	8021	\$40.00	\$51,266.00
03/02	141	10017718	UCAL222	2252	\$225.00	\$51,041.00
03/02	1585	78176012	BRND-T003	7004	\$300.00	\$50,741.00
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03/03	2	10133973	RIGL-011CIP	2251	\$60.00	\$50,431.00
03/03	4	09716842	STAN-131	2801	\$395.00	\$50,036.00
03/03	5	09716842	STAN-131	2252	\$225.00	\$49,811.00
03/03	9	09550303	STAN-128	2453	\$750.00	\$49,061.00
03/03	10	09550303	STAN-128	2801	\$395.00	\$48,666.00
03/03	89	11067065	RIGL-020	2011	\$150.00	\$48,516.00
03/03	90	11067065	RIGL-020	2111	\$250.00	\$48,266.00
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03/03	92	11067065	RIGL-020	2201	\$100.00	\$48,066.00
03/03	93	11067065	RIGL-020	2202	\$400.00	\$47,666.00
03/03	118	11016387	AGYT-049	2051	\$65.00	\$47,601.00
03/03	257	09665493	PPO1588.005	8021	\$40.00	\$47,561.00
03/03	281	60656864	STAN-370PRV	2005	\$100.00	\$47,461.00
03/03	357	10259164	GANG-002	8001	\$30.00	\$47,431.00
03/04	1	PCT/US05/01937	BERK-016WO1	1602	-\$1,000.00	\$48,431.00
03/04	2	PCT/US05/01937	BERK-016WO1	1603	\$300.00	\$48,131.00

03/04 3	11000050	FLOW-020	2051	00	\$48,196.00
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03/04 461	10525583	INTM-016	2615	\$1,300.00	\$45,296.00
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03/07 20	11004050	FLOW-020	8021	\$40.00	\$45,156.00
03/07 21	60657513	UCAL-332PRV	2005	\$100.00	\$45,056.00
03/07 21	10970366	STAN-387	8021	\$40.00	\$45,016.00
03/07 30	PCT/US05/06129	STAN-361WO	1601	\$300.00	\$44,716.00
03/07 31	10622283	UCSF-088CON2	2202	\$475.00	\$44,241.00
03/07 31	PCT/US05/06129	STAN-361WO	1603	\$300.00	\$43,941.00
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03/07 201	11070871	CLON-105	1311	\$200.00	\$40,662.00
03/07 202	11070871	CLON-105	1202	\$550.00	\$40,112.00
03/07 203	11070871	CLON-105	1201	\$400.00	\$39,712.00
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03/07 303	10525629	JONG-002	1616	\$360.00	\$38,352.00
03/07 308	60657637	STAN-404PRV	2005	\$100.00	\$38,252.00
03/08 15	11008354	AGYT-040	8021	\$40.00	\$38,212.00
03/08 30	08918537	STAN-064	2501	\$700.00	\$37,512.00
03/08 31	08918537	STAN-064	8001	\$30.00	\$37,482.00
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03/08 65	29188026	CURE-001DES3	8001	\$30.00	\$37,052.00
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03/08 165	09946690	LFS-136	1252	\$450.00	\$36,352.00
03/08 166	09946690	LFS-136	1806	\$180.00	\$36,172.00
03/08 167	10104192	UCSF231	2801	\$395.00	\$35,777.00
03/09 1	10194125	GNOP-011	2251	\$60.00	\$35,717.00
03/09 1	10508168	ARSI-004	1616	\$290.00	\$35,427.00
03/09 5	10891383	BERK-012DIV	2251	\$60.00	\$35,367.00
03/09 39	11021949	VITA-012	8021	\$40.00	\$35,327.00
03/09 39	10116086	LIFE-050	1251	\$120.00	\$35,207.00